

11/28/01

JC846 U.S. P.10

11-30-01

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

KBO-2936-U

First Inventor

KIM, Bronson B. & WETMORE, Dale A.

Title

CRAWL ACCESS AND BASEMENT WINDOW WELL.

Express Mail Label No.

ET776504909US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **17**]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **5**]
5. ☒ Oath or Declaration [Total Pages **5**]
- a. ☒ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- b. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____

Prior application information:

Examiner _____

Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer Number or Bar Code Label here)

or

Correspondence address below

Name

20793

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

R. REAMS GOODLOE JR.

Registration No. (Attorney/Agent)

32,466

Signature

R. Reams Goodloe Jr.

Date

11/28/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Application Number	Not assigned	Confirmation No. : N/A
Filing Date	NOVEMBER 28, 2001	
First Named Inventor	KIM, Bronson B. and WETMORE, Dale A.	
Examiner Name	Not assigned	
Group / Art Unit	Not assigned	
Attorney Docket No.	KBO-2936-U	

TOTAL AMOUNT OF PAYMENT (\$) 379.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 07-1613
Deposit Account Name R. Reams Goodloe, P.S.

- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17
☒ Applicant claims small entity status 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
SUBTOTAL (1)			(\$ 370.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
21	-20** = 1	9	9
Independent Claims	-3** =		
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 9.00

**or number prev. paid, if greater.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexam.	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Typed or Printed Name R. Reams Goodloe, Jr.

Signature

R. Reams Goodloe, Jr.

Date

11/28/01

Complete (if applicable)

Reg. Number 32,466

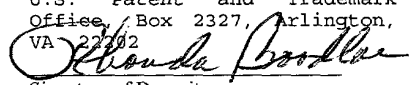
Deposit Account User ID 4035

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CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below in an envelope as "Express Mail Post Office to Addressee" mailing Label Number ET776504909US addressed to:

U.S. Patent and Trademark
Office, Box 2327, Arlington,
VA 22202


Signature of Depositor

Rhonda Goodloe
Print Name of Depositor

Date: NOVEMBER 19 2001

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

Attention: Box New Patent Application
U.S. Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): **KIM, Bronson B.**
WETMORE, Dale A.

For: (Title): **CRAWL ACCESS AND BASEMENT WINDOW WELL**

1. Type of Application

This new application is for a(n)

- ☒ Original (nonprovisional)
- ☐ Design
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-In-Part (CIP)

2. **Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e), 120, or 121).**

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s). Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

3. **Papers Enclosed**

A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application

9 Pages of specification

7 Pages of claims

5 Sheets of drawings (Figs. 1-6)

- ☐ The enclosed drawings are photographs(s). Three (3) sets of photographs and a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)" are attached. 37 C.F.R. § 1.84(b).
- ☐ The enclosed drawings are in color. Three (3) sets of color drawings and a "PETITION TO ACCEPT COLOR DRAWINGS" are attached. 37 C.F.R. § 1.84 (a)(2) AND § 1.84 (b).
- ☒ formal
- ☐ informal

B. **Other Papers Enclosed**

5 Pages of declaration and power of attorney

1 Pages of abstract

 Request for Non-publication of application

x Other (Return Receipt Postcard)

4. **Additional papers enclosed**

- ☐ Amendment to claims
- ☐ Cancel in this application claims before calculating the filing fee. (At least one original independent claim must be retained for filing purposes).
- ☐ Add the claims shown on the attached amendment (Claims added have been numbered consecutively following the highest numbered original claims)
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement (37 C.F.R. § 1.98)
- ☐ Form PTO-1449
- ☐ Citations

5. Declaration or Oath (including Power of Attorney)

- ☒ Enclosed
Executed by the inventors
☐ Not enclosed

6. Inventorship Statement

The inventorship for all the claims in this application are:

- ☒ The same
☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,
☐ is submitted.
☐ will be submitted.

7. Language

- ☒ English

8. Assignment

- ☐ An assignment of the invention to _____
☐ is attached.
A separate ☐ COVER SHEET FOR ASSIGNMENT
(DOCUMENT) ACCOMPANYING NEW PATENT
APPLICATION or ☐ Form PTO 1595 is also attached.
☐ will follow.
☐ This is a ☐ continuation ☐ divisional application and
the assignment document for the parent application
0 / was filed _____.

9. Certified Copy

Certified copy(ies) of application(s):

Country	Appln. No.	Filed
Country	Appln. No.	Filed

from which priority is claimed

- ☐ is (are) attached.
☐ will follow.

10. Fee Calculation (37 C.F.R. § 1.16)

A. ☒ Regular Application

CLAIMS AS FILED			
Number Filed	Number Extra	Rate	Basic Fee 37 CFR. §1.16(a) \$740.00
Total Claims (37 CFR § 1.16c)) <u>21</u> - 20 =	1	X \$18.00	\$ 18.00
Independent Claims (37 CFR § 1.16b)) <u>2</u> - 3 =	0	X \$84.00	\$ 0
Multiple dependent claims (37 CFR § 1.16))		+ \$280.00	\$ 0

- ☐ Amendment canceling extra claims is enclosed.
☐ Amendment deleting multiple-dependencies is enclosed.
☐ Fee for extra claims not being paid at this time.

FILING FEE CALCULATION: \$758.00

B. ☐ Design application

(\$330.00 - 37 C.F.R. § 1.16(f))

FILING FEE CALCULATION: \$

11. Assertion of Small Entity Status

☒ Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27.

☐ Status as a small entity was asserted in the prior application ____/____, filed on _____ from which benefit is being claimed for this application under:

35 U.S.C. § ☐ 119 (e),
☐ 120,
☐ 121
☐ 365 (c),

and which status as a small entity is proper and asserted for this application.

☐ A copy of the written assertion of small entity filed in the prior application is included.

Filing Fee Calculation (50% of A, B, or C above).

\$379.00

12. Fee Payment Being Made At This Time

- ☐ Not enclosed.
☐ No filing fee is to be paid at this time.

☒ Enclosed

☒ Filing Fee: \$379.00
☐ Recording Assignment
(\$40.00; 37 C.F.R. § 1.21 (h)) \$_____

TOTAL FEES ENCLOSED: \$379.00

13. Method of Payment of Fees

- ☒ **Check in the amount of \$379.00.**
☐ Authorization is hereby made to charge the amount of
\$_____ to Deposit Account No. _____.
A duplicate of this transmittal is attached.
☐ Charge any additional fees required by this paper or
credit any overpayment in the manner authorized above.

14. Authorization to Charge Additional Fees

- ☒ The Office is hereby authorized, (in the manner shown
above), to charge the following additional fees by this
paper and during the entire pendency of this application to
Account No.: 07-1613.
- ☒ 37 C.F.R. § 1.16(a), (f) or (g) (filing fees)
☒ 37 C.F.R. § 1.16(b), (c) and (d) (presentation of
extra claims)
☒ 37 C.F.R. § 1.16(e) (surcharge for filing the basic
filing fee and/or declaration on a date later than the
filing date of the application)
☒ 37 C.F.R. § 1.17(a)(1)-(5) (extension fees
pursuant to § 1.136(a)).
☒ 37 C.F.R. § 1.17 (application processing fees)
☐ 37 C.F.R. § 1.18 (issue fee at or before mailing
of Notice of Allowance, pursuant to 37 C.F.R. §
1.311(b)).

15. Instructions as to Overpayment

☒ Credit Account No.: 07-1613.

Date: November 28, 2001

Phone: 253-859-9128

Fax: 253-859-8915

Customer

No.: 20793



SIGNATURE OF ATTORNEY

R. Reams Goodloe, Jr.

Reg. No. 32,466

Suite 3

10725 - S.E. 256th Street

Kent, Washington

98031-6426

09997046 112304

☐ **Incorporation by reference of added pages**

- ☐ Plus Added Pages for New Application Transmittal Where Benefit of Prior U.S. Application(s) Claimed

Number of pages added: _____

- ☐ Plus Added Pages for Papers Referred to in Item 4 above.

Number of pages added: _____

- ☐ Plus added pages deleting names of inventor(s) named in prior application(s) who is/are no longer inventor(s) of the subject matter claimed in this application.

Number of pages added: _____

- ☐ Plus "Assignment Cover Letter Accompanying New Application"

Number of pages added: _____

☒ **Statement Where No Further Pages Added**

- ☒ This transmittal ends with this page.